Assessing Metacognition Awareness of Freshmen Engineering Students

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Abstract: An interdisciplinary team of researchers at a Southwest Hispanic-Serving Land-Grant University embarked on an National Science Foundation-funded study to provide workshops for first year engineering students to introduce them to metacognitive awareness learning strategies that have the potential to help their study skills, and in turn, their academic performance. To assess if these strategies were utilized and if they were helpful for students, we collected pre- and post-intervention surveys and reflective writing journals. The survey items came from the metacognitive awareness inventory (MAI) [1] to measure pre- and post-knowledge and regulation of cognition. These surveys were administered to the introductory level engineering classes at the beginning and end of their first semester in college in the required introduction to engineering course. In this paper, results pertaining to MAI scores and students' performance on their first exam scores are presented. A shortened version of the original 52-item MAI survey, now called the Modified Metacognition Awareness Inventory (MMAI) is also statistically analyzed and discussed. Results will be useful for other educators and researchers in engineering who are looking to help students with effective learning strategies and study skills to foster their success in engineering courses and/or other subjects.

Introduction

Metacognition, often defined as "thinking about thinking," is primarily about knowing, understanding, monitoring, and controlling one's cognitive processes, leading to altered and ideally more productive behaviors [2-6]. The term "metacognition" itself is often attributed to John Falvell [7] who defined it as: "one's knowledge concerning one's own cognitive processes and products or anything related to them … Metacognition refers, among other things, to the active monitoring and consequent regulation and orchestration of these processes in relation to the cognitive objects or data which they bear, usually in the service of some concrete goal or objective." Another early contributor, Ann Brown [8-9], classified metacognition as composed of two main parts: knowledge (K) of cognition and regulation (R) of cognition. Schraw and Dennison [1] provided further subclassifications subsumed within K and R: three dimensions for K, DK = Declarative Knowledge, CK = Conditional Knowledge, and PK = Procedural Knowledge; and five dimensions for R, P = Planning, IMS = Information Management Strategies, M = Monitoring, E = Evaluation, and DS = Debugging Strategies. Schraw and Dennison developed the metacognitive awareness inventory (MAI) to assess both metacognition knowledge and regulation.

Metacognitive awareness can help students to recognize the limits of their current learning practices, and then take steps to remedy the situation; such awareness is valuable for learners at all ages [10]. Further, metacognitive strategies and practices can enhance learning and impact student achievement [11-16]. Recent studies have shown the impact of metacognition on

academic achievement in terms of grade point average increase [17] and math [18] and reading [1, 19] performance. Schraw and Dennison [1] reported a significant relationship between MAI scores and test performance of college students. Norehan [20] demonstrated that overall metacognition and students' academic performance were correlated, and that metacognitive regulation rather than metacognitive knowledge was found to be significantly related to high school students' academic performance.

Motivation

Although recent studies [2-3, 11-16, 21-23] indicate the effectiveness of metacognition in promoting student success in college science learning, it is difficult to measure metacognitive processes and the resulting impact on learning. It is of particular interest to quantify, if possible, what relationship or lack thereof exists between students' grades and their metacognition awareness, and further, which instruments may be used to most effectively measure metacognition awareness.

Methods

A. Participants

The participants in this study were all Fall 2016 freshmen enrolled in ENGR 100, Introduction to Engineering. The course is designed to acquaint students with various engineering majors; introduce them to the engineering-relevant aspects of various systems and devices; highlight relationships among engineering, mathematics, science, and society; and help students develop skills that will be needed later in the major and in the field. The entire cohort of engineering freshmen (~360) consisted of 12 sections, with 30 students on average per section.

B. Instrument and Data Collection

The instrument used to measure metacognitive awareness was the metacognitive awareness inventory (MAI) [1], a 52-item self-report survey, subdivided into two broader classes, Knowledge (K) of cognition and Regulation (R) of cognition. K and R are further divided along eight dimensions: three dimensions for K, DK = Declarative Knowledge, CK = Conditional Knowledge, and PK = Procedural Knowledge; and five dimensions for R, P = Planning, IMS = Information Management Strategies, M = Monitoring, E = Evaluation, and DS = Debugging Strategies.

The MAI was administered to all 12 sections at the start of the semester just after Exam 1, about 6 weeks into the semester. A total of 268 students responded to the surveys. Each survey item

(52 in all) was Likert scale coded as shown in Table 1 with two examples. For every survey item, students were asked to provide a number from 1 to 5.

	8		F		
MAI Prompts (Schraw & Dennison, 1994)	Never	Rarely	Occasionally	Usually	Always
I consider several alternatives to a problem before I	1	2	3	4	5
answer.					
I pace myself while learning in order to have enough	1	2	3	4	5
time.					

Table 1: Likert-Scale Coding of MAI Prompts

C. Data Analysis – Exploratory

Out of 268 collected records, 80 were excluded because of missing data. The remaining data consisted of 188 (268-80=188) records, each record with 53 fields (52 for the MAI, 1 for Exam 1). As a first exploratory step, we analyzed these data for internal consistency and reliability. Cronbach's alpha was 0.9235, suggesting that the data have high internal consistency. As a second exploratory step, the 52 survey responses, X-data or predictor data, pertaining to each data record were averaged. This average value for each student record is referred to as x_m . Because the data were evaluated against the null hypothesis that assumes a normal distribution, the 188 averaged records were further analyzed to detect the underlying distribution and outliers. Data record 78 was identified as an outlier and thus removed. The remining 187 records were tested for normality as shown in Fig. 1. Pertinent exploratory statistics pertaining to these data are tabulated in Table 2. As is evident from Table 2 and Fig. 1, these scores fall within the bounds of normally distributed data, and thus they are suitable for subsequent analysis with the assumption of normality achieved.

	Mean	Median	Std	Max	Min	Skewness	Kurtosis
ĺ	3.6756	3.6154	0.4047	4.8269	2.4808	0.2165	3.0423

Table 2: Exploratory Statistics for Survey Data x_m pertaining to ENGR100 in Fall 2016

To identify if average x_m has any relationship to students' Exam 1 scores, the data were grouped in four quantiles, lower (Q1 – 0 to 25%), median (Q2 – 26% to 50%), upper (Q3 – 51% to 75%), and topmost (Q4 – above 75%). The Boxplot analysis indicated 7 more outliers, 2 in Q2, 1 in Q3, and 4 in Q4. After removing these outliers, the remaining 180 Exam 1 records were assigned to each quantile based on average MAI score. The resulting average statistics are tabulated in Table 3.

Referring to Table 3, whereas the mean and the median for the bottom two quartiles are almost identical, there are notable differences between the median quantile and the upper quantile, and particularly between the upper quantile and the topmost quantile. This trend indicates that the

MAI could be a useful tool to show a relationship between exam scores and metacognition. It was therefore worthwhile to further analyze these results to determine the impact and the relationship of individual MAI prompts (rather than in aggregate) to the Exam 1 scores.



Figure 1: Normal probability plot for average MAI survey data, averaged over 52 prompts for each student.

	N, # of records	Exam 1					Average MAI	
Quantiles	in each quantile	Mean	Median	Std	Max	Min	x_m range	
Lower (Q1)	14	76.45	77.79	11.23	92	57	$x_m \le 3.101$	
Median (Q2)	78	77.00	78.24	11.07	100	53	$3.101 < x_m \le 3.6154$	
Upper (Q3)	72	81.61	82.26	11.60	99	52	$3.6154 < x_m \le 4.1779$	
Topmost (Q4)	16	91.75	92.00	4.21	100	86	$4.1779 < x_m$	

Table 3: Quantile statistics pertaining to average MAI and Exam 1 scores.

D. Data Analysis – Regression

The original 188 data records were further analyzed to fit a linear regression model to determine if the survey responses could serve as a predictor of exam scores. More specifically, X - data containing survey prompts 1 - 52 were used as predictor variables and Y - data containing

students' Exam 1 scores were used as the predicted variable. These analyses were performed iteratively in two steps (explained below), first based on Cook's distance (Cook, 1977) to identify outliers, and then the significance of each predictor variable based on the *p*-value.

Cook's Distance [24] is commonly used in statistics to identify the influence of outliers on regression fit. Generally, Cook's distance larger than three times the mean Cook's distance is considered an outlier. In the present study, this method was used iteratively to remove one data record at a time, checking and rechecking the fit statistics after each iteration. As an example, Fig. 2 shows a plot of Cook's distance versus data record number during the first iteration. All data points above the horizontal blue dotted line in Fig. 2 are considered possible outliers. In first iteration, the highest outlier was identified and removed, Cook's distance was recalculated, and the process was repeated. This iterative process resulted in the removal of 48 records, about 25.53% of the data.

In statistical data analysis, the *p*-value is used to determine the significance of the results in evaluating the null hypothesis. Commonly used *p*-values in social science research are 0.01 and 0.05; the latter was used in the present analyses as a starting *p*-value. Adherence to this *p*-value resulted in 23 predictor variables retained out of 52, about 44% of the predictors originally included in the MAI. We now refer to this as the Modified Metacognition Awareness Inventory (MMAI). The final linear regression model for the MMAI shown in Eqn. (1) consists of 24 terms: 1 Intercept or constant term, and 23 predictors.

$$y \sim \beta_0 + \beta_1 x_2 + \beta_4 x_4 + \beta_6 x_6 + \beta_7 x_7 + \beta_8 x_8 + \beta_{11} x_{11} + \beta_{13} x_{13} + \dots + \epsilon$$
(1)

In this equation, β_i , x_i , i = 0, 2, 4, 6, 7, 8, 11, ..., and ϵ , are, respectively, regression coefficient estimates, predictors listed in the Appendix (Tables 1 and 2), and the error term.

The *p*-value for the whole model is < 0.001, indicating a robust fit. All relevant statistical parameters pertaining to this model are reported in the Appendix (Tables 1 and 2). Summary statistics and the analysis of variance (ANOVA) results are presented in Tables 4 and 5.

N # of	Error degrees	Root Mean	R-	Adjusted	F-statistic vs.	Model p-
Observations	of freedom	Squared Error	squared	R-	constant model	value
				Squared		
140	116	5.26	0.765	0.718	16.4	1.6624E- 24

Table 4: Summary statistics pertaining to the whole regression model



Figure 2: Cook's Distance vs. data record number.

	SumSq	DF	MeanSq	F	p-Value
Total	13615	139	97.952		
Model	10412	23	452.68	16.391	1.6624E-24
Residuals	3203.6	116	27.617		

Table 5: ANOVA Summary statistics pertaining to the whole regression model

Further, Fig. 3 provides a visual depiction of the output of the model against the adjusted predictor variables for the entire model. It also shows the 95% confidence limits. It is clear from Fig. 3 that this model accounts for the relationship between students' MAI scores and their Exam 1 scores. It is expected that on average, higher MAI scores predict better Exam 1 results.

Finally, we used this regression model to predict the students' Exam 1 scores given their MAI survey scores. The error (Predicted Exam 1 -Actual Exam 1) is shown in Fig. 4, which also depicts the removed 48 records, shown with red circles. It is interesting to note that larger errors in predicting Exam 1 scores are mostly related to the removed data points.

Added variable plot for whole model



Figure 3: The Whole Regression Model linking predictors and the Exam 1 scores.



Figure 4: Error between predicted Exam 1 and actual Exam 1 for each data record. Also plotted are errors pertaining to the removed data records (red circles) based on Cook's distance.

Preliminary Findings and Discussion

As mentioned earlier, we analyzed the data in two different ways. The first analysis pertains to the division of the entire population into four quantiles, lower (Q1), median (Q2), upper (Q3), and topmost (Q4) as per students' average MAI survey scores. Tables 2-3 and Fig. 1 are relevant to this analysis. Referring to Table 3, the students in the two lower quantiles (Q1 and Q2) performed almost identically in terms of their Exam 1 grades. These two quantiles include about 51% (92 out of 180 = 51%) of the surveyed population. These analyses highlight that on average, the median of the average MAI scores x_m of less than or equal to 3.6154 may not provide fine granularity for at least 50% of the population sampled. This trend starts to change gradually for the upper and the topmost quantiles. There is at least a 4-point mean difference in Exam 1 scores between the median and the upper quantile, accounting for about 40% of the surveyed population. There is a letter grade average difference between these two quartiles. Thus, the average MAI score x_m is potentially capable of pointing out high achievers.

The second analysis pertains to fitting a regression model to the data. Tables 4-5, Figures 2-4, and the Appendix (Tables 1 and 2) are relevant to these analyses. Referring to Tables 4 and 5, the *p*-value for the entire model is negligibly small, suggesting a statistically robust fit. As shown in the Appendix (Tables 1 and 2), the *p*-values for individual predictors are all less than 0.001, except for three predictors with *p*-values in the range of 0.011 to 0.018. This indicates strong significance of all retained predictors in the model. As indicated by the whole model shown in Fig. 3 and the tabulated values in Table 4, the model has reasonable explanatory power for almost 74.5% of the sampled population, whereas it may not be suitable for the excluded 25.5% of the sampled population. For 74.5% of the sampled population, the model is capable of explaining almost 72% (71.8% adjusted R-squared, Table 4) of the variability in Exam 1 scores.

The final regression model in eqn. (1) along with tabulated values in the Appendix (Tables 1 and 2) was used to predict the Exam 1 scores for the entire population. This error is shown in Fig. 4 (blue x-crosses for all data). The error between the predicted Exam 1 scores based on the proposed regression model and the actual Exam 1 scores is almost zero on average. The individual errors are mostly confined within the 95% confidence interval for almost 74% of the surveyed population. However, for the excluded 48 records shown as red circles in Fig. 4, these errors are unacceptably high, indicating once more the model's unsuitability for this 25.5% of the surveyed population.

Further, there are 23 predictors, 4 belonging to Knowledge – K (3 DK, 1 CK) and 19 belonging to Regulation – R (6 P, 4 IMS, 3 M, 4 E, 2 DS). It is evident from this distribution that Regulation is the more important component of metacognitive awareness for the population surveyed.

As indicated by various statistics presented here, the reduction from 52 items to 23 items, a reduction of at least 55%, may be suitable for the population sampled in this study. We anticipate that these 23 items will provide adequate coverage for metacognitive assessment for the surveyed population.

Conclusions and Future Work

The initial focus of our effort reported here was to identify: (i) whether or not there exists a relationship between students' MAI survey data and their Exam 1 scores, and (ii) to identify prompts in the 52-item MAI survey that may have statistical significance. This paper presents some preliminary findings on both aspects utilizing the data for a freshmen engineering class from Fall 2016. Based on the data analyses and the discussion above, we conclude that there is a reasonably positive correlation between the students' metacognitive awareness and their Exam 1 results for at least 74% of the population surveyed. Further, the regression model identified 23 predictors out of 52 to be significant, thereby enabling shortening the survey instrument by over 50%. This shortened instrument is likely to help students avoid boredom and loss of concentration while responding to these surveys.

This work is not complete yet. During the present effort reported here, we removed entire data records when item level results were missing. We intend to use at least two more methods to remedy the situation resulting from the missing data fields. After completing our fuller analyses, we will report our findings to make further contributions to the field in this area.

Acknowledgements

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Appendix

Table 1: Regression Model Statistics and corresponding original MAI Prompts

	Predictors	Estimate	SE	tStat	p-Value
MAI Prompts [xx]	Intercept	58.43646	5.920838	9.869627	4.77E-17
I consider several alternatives to a problem before I answer. (R-M)	X2	-2.94326	0.667652	-4.40837	2.34E-05
I pace myself while learning in order to have enough time. (R-P)	X4	2.037778	0.697072	2.923338	0.004165
I think about what I really need to learn before I begin a task. (R-P)	X6	-2.26576	0.692598	-3.27139	0.00141
I know how well I did once I finish a test. (R-E)	X7	3.795456	0.554629	6.843231	3.88E-10
I set specific goals before I begin a task. (R-P)	X8	2.414403	0.560448	4.307984	3.47E-05
I ask myself if I have considered all options when solving a problem. (R-M)	X11	-2.72226	0.65899	-4.13095	6.85E-05
I consciously focus my attention on important information. (R-IMS)	X13	2.100011	0.815414	2.575392	0.011269
I know what the teacher expects me to learn. (K-DK)	X16	3.133404	0.651108	4.812421	4.53E-06
I ask myself if there was an easier way to do things after I finish a task. (R-E)	X19	3.070466	0.556883	5.513666	2.16E-07
I have control over how well I learn. (K-DK)	X20	4.747923	0.589479	8.054438	7.87E-13
I periodically review to help me understand important relationships. (R-M)	X21	1.454122	0.589172	2.468079	0.015043
I think of several ways to solve a problem and choose the best one. (R-P)	X23	2.151819	0.701724	3.066476	0.002695
I use my intellectual strengths to compensate for my weaknesses. (K-CK)	X29	-3.09454	0.697141	-4.43891	2.07E-05
I focus on the meaning and significance of new information. (R-IMS)	X30	-1.89356	0.787538	-2.40441	0.017781
I create my own examples to make information more meaningful. (R-IMS)	X31	-1.55939	0.468898	-3.32566	0.001182
I ask myself if I have considered all options after I solve a problem. (R-E)	X38	2.926443	0.677618	4.318725	3.33E-05
I change strategies when I fail to understand. (R-DS)	X40	-2.24196	0.665163	-3.37055	0.001019
I read instructions carefully before I begin a task. (R-P)	X42	1.673986	0.589351	2.840389	0.005323
I ask myself if what I'm reading is related to what I already know. (R-IMS)	X43	5.166726	0.593119	8.711115	2.44E-14
I organize my time to best accomplish my goals. (R-P)	X45	-1.7416	0.638802	-2.72635	0.007398
I learn more when I am interested in the topic. (K-DK)	X46	-3.79067	1.041662	-3.63906	0.00041
I ask myself if I learned as much as I could have once I finish a task. (R-E)	X50	-3.11003	0.525846	-5.91433	3.44E-08
I stop and go back over new information that is not clear. (R-DS)	X51	-2.3633	0.751003	-3.14685	0.002098

Appendix

Predictor	SumSq	DF	MeanSq	F	p-Value
X2	536.7036	1	536.7036	19.43375	2.34E-05
X4	236.013	1	236.013	8.545904	0.004165
X6	295.5582	1	295.5582	10.702	0.00141
X7	1293.303	1	1293.303	46.82982	3.88E-10
X8	512.5381	1	512.5381	18.55873	3.47E-05
X11	471.2797	1	471.2797	17.06478	6.85E-05
X13	183.1743	1	183.1743	6.632642	0.011269
X16	639.5952	1	639.5952	23.1594	4.53E-06
X19	839.5738	1	839.5738	30.40052	2.16E-07
X20	1791.63	1	1791.63	64.87397	7.87E-13
X21	168.2271	1	168.2271	6.091413	0.015043
X23	259.6912	1	259.6912	9.403278	0.002695
X29	544.165	1	544.165	19.70392	2.07E-05
X30	159.6594	1	159.6594	5.781183	0.017781
X31	305.4446	1	305.4446	11.05998	0.001182
X38	515.0969	1	515.0969	18.65138	3.33E-05
X40	313.7469	1	313.7469	11.3606	0.001019
X42	222.8094	1	222.8094	8.067808	0.005323
X43	2095.682	1	2095.682	75.88353	2.44E-14
X45	205.278	1	205.278	7.433007	0.007398
X46	365.7274	1	365.7274	13.24279	0.00041
X50	966.0268	1	966.0268	34.97931	3.44E-08
X51	273.4833	1	273.4833	9.902684	0.002098
Error	3203.583	116	27.61709		

Table 2: Analysis of Variance (ANOVA) Statistics for the model

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