

## **AC 2007-3087: A SYSTEMS ENGINEERING ENTREPRENEURSHIP APPROACH TO COMPLEX, MULTIDISCIPLINARY UNIVERSITY PROJECTS**

### **William Arrasmith, Florida Tech**

William W. Arrasmith received his Ph.D. from The Air Force Institute of Technology in Dayton, Ohio in Engineering Physics. He holds an M.S. degree in Electrical Engineering from the University of New Mexico and a B.S. degree in Electrical Engineering from Virginia Tech. He is currently an Associate Professor in the Engineering Systems Department at the Florida Institute of Technology. His research interests include adaptive optics, signal processing, image processing, and applied systems engineering. He worked for 20 years for the United States Air Force as a scientist, engineer, educator, and acquisitions officer prior to his academic career.

# A Systems Engineering Entrepreneurship Approach to Complex, Multi-Disciplinary University Projects

**Abstract:** This paper presents a systems engineering entrepreneurship approach to developing projects at a university that are complex, multi-disciplinary in nature, integration oriented, and that may span departments, colleges, and have long completion schedules. Fundamental systems engineering principles are used to manage cost, schedule, and performance aspects of projects as well as to manage and control project risk. Entrepreneurial principles are used as part of the cost-benefit analysis in project evaluation. As an illustrative example, we present a project to develop an adaptive optics and atmospheric turbulence compensation system for a 0.8 meter optical telescope. A system engineering approach is used to identify and document stakeholder requirements, establish a project baseline, and use a requirements driven methodology to manage and control the project throughout its system development life-cycle. This approach is most suitable for technically complex projects that require collaboration and integration of diverse activities and resources as is often the case for multi-disciplinary projects or activities in centers of excellence or multi-university research initiatives.

## 1.0 Introduction

The discipline of systems engineering has long been used as a tried-and-true means for controlling, cost, schedule, and performance aspects of complex government and industrial programs. In fact, for many DOD programs, a sound systems engineering approach is a prerequisite for any successful contractor bid<sup>1</sup>. At the same time, universities are increasingly undertaking more complex, multi-disciplinary, collaborative ventures that range in scope from establishing “Centers of Excellence” and multi-university initiatives to multi-disciplinary senior design projects—robots, autonomous vehicles, alternative energy projects, and race car projects and competitions to name a few.

Often, the successes, failures, and lessons learned from these projects are passed on from one team to the next by word of mouth alone and no process exists for retaining corporate knowledge, system optimization, or for implementing a spiral development process. Adopting a systems engineering approach for multi-disciplinary, complex university projects would provide long-term stability, a means for integrating the activities of diverse faculty, and a proven approach to managing cost, schedule, and technical aspects of complex university projects/programs.

As an example, we present a systems engineering analysis/approach to providing an adaptive optics and atmospheric turbulence compensating capability for a newly acquired 80 *cm* telescope at our institution. Adding this capability would increase our telescopes spatial resolution up to 14 times over its current state. This is a highly complex, multi-disciplinary project that involves optics, mechanical engineering, physics, math, electrical and computer engineering, computer science, and systems engineering disciplines.

We present systems engineering processes, tools, and techniques that were used for the spiral development of this project. Some examples include stakeholder identification and needs assessments, development of a concept of operations, feasibility and risk analysis, requirements engineering, functional analysis, and life-cycle planning<sup>2</sup>. With the entrepreneurship focus, intellectual property, commercialization, marketing, spin-off technologies and return on

investment as well as other commercial and business oriented aspects are included as part of the educational experience and as a means for promoting project growth<sup>3</sup>.

For clarity and completeness, the following organizational approach is adopted. Section 2.0 provides some technical background on optical imaging through atmospheric turbulence along with the motivation and mechanics for incorporating atmospheric turbulence compensation approaches such as post-processing turbulence compensation systems, adaptive optics systems, or hybrid adaptive optics systems. Section 3.0 describes our process of implementing a system engineering approach for the development and life-cycle support of an atmospheric turbulence compensating imaging system. Section 4.0 provides some projected performance and simulation results and Section 5.0 presents our conclusions. In this case, a system engineering entrepreneurship methodology was applied to a charter adaptive optics system at the [our university here] (Chaos-XXX) but this requirement driven, systematic approach can be used for general multi-disciplinary, integration oriented, complex projects at academic institutions. This approach has the added benefits of providing stability, traceability, configuration control, and an established project baseline that opens the door for implementing successful, cost-effective, multi-disciplinary, integration-oriented and technically complex projects/programs over arbitrary time-frames in a high turn-over environment as would be expected using student teams.

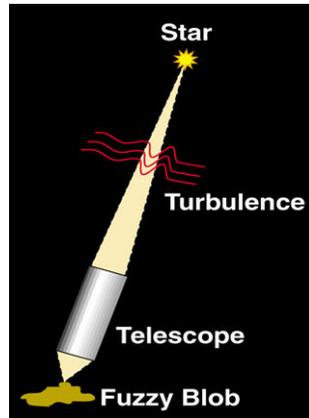
## 2.0 Background

Before describing the system engineering entrepreneurship approach, we will present a little background on atmospheric turbulence compensation and adaptive optics systems (ATC & AOS) in order to gain an understanding of the scope of our technical project. The intent is not to provide a complete technical treatment of ATC & AOS but to provide a general introduction and overview of these types of systems to provide contextual information for Section 3.0 of this paper. This section also indicates the complexity of this project and the need for multi-disciplinary teams to successfully implement these types of systems.

In the optical part of the electromagnetic spectrum, atmospheric turbulence is the leading contributor to loss of spatial resolution in imaging systems with entrance pupil apertures larger than the atmospheric coherence length a.k.a. the Fried parameter<sup>4</sup>. Often, a reasonable value for the Fried parameter is 8 *cm*. This means that without compensating for the effects of atmospheric turbulence, large optical imaging systems such as those at our national observatories would have no better spatial resolution than telescopes bought in the toy department of any retail store. Figure 1 shows the effect of imaging space objects through atmospheric turbulence.

In Figure 1, light from a space-borne object (the star) has its electromagnetic field corrupted by the atmosphere. For near-field atmospheric turbulence, such as is seen by an earth-bound telescope imaging extra-terrestrial objects, the predominant effect of the turbulence is to corrupt the phase of the incoming electromagnetic field<sup>5</sup>. The net effect is to spread the energy of the star over the focal plane of the receiver as indicated by the “fuzzy blob” in Figure 1.

The major cause of atmospheric turbulence is the non-uniform heating and cooling of the Earth’s surface by the sun<sup>6</sup>. Non-uniform heating and cooling of the Earth’s surface results



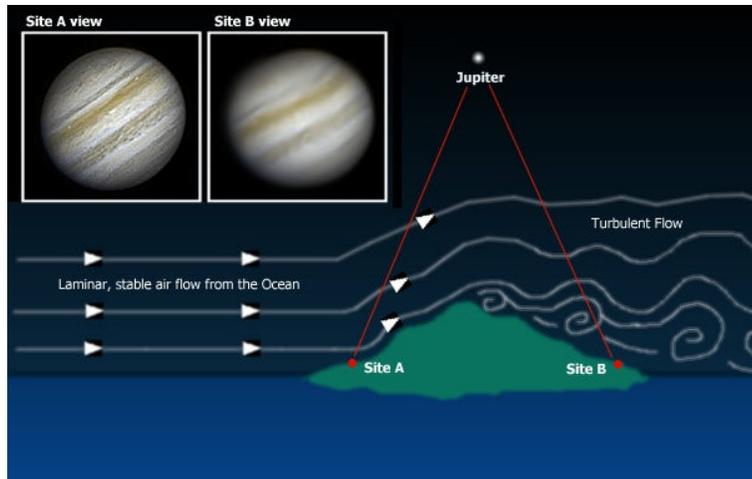
**Figure 1: The light from the star is refracted by the earth's atmosphere resulting in a fuzzy and blurry image.**

from variations in the thermal response of different materials when they are illuminated by solar radiation. The cycle of heating and cooling through-out the day and night results in heat being coupled into index of refraction changes that have altitude dependent spatial scales. The non-uniform heating of the air gives rise to randomly sized and distributed pockets of air each having a characteristic temperature. These pockets of air, also referred to as turbulent eddies, are the cause for turbulent motion in airplane travel, twinkle effects of distant stars, and the blurring effect on images as seen through an optical telescope. The altitude dependence of atmospheric turbulence is discussed next.

The air near the surface is where most of the turbulent airflow in the atmosphere occurs. This is caused mainly by the thicker atmosphere near the Earth's surface and the solar and atmospheric interaction with the surrounding physical environment such as terrain, physical structures, wind, material properties, moisture, and humidity. A uniform topography, such as grassy fields, and large bodies of water create uniform heat patterns and therefore less turbulence.

Mid-altitude turbulence effects are determined largely by the topography upwind of the observing site. By living downwind of a large city, or densely populated area, large structures such as mountain ranges or other highly varied topography will create atmospheric turbulence. This effect is seen in Figure 2. Downwind of a mountain peak, the airflow creates turbulent eddies. This effect can prevail as far as 100 km downwind of the peak. If the terrain around an observing site is uniform, then turbulence effects are reduced. Also, generally the higher an observing site is positioned, the less atmospheric turbulence it experiences because of the thinning atmosphere.

High altitude atmospheric turbulence effects are dominated by Jet streams. Wind shears at around the 200-300 mb altitude level can cause images to appear very fuzzy, and devoid of fine detail. Forecasts are available to help predict whether a Jet stream is present over your area. Areas of the Northern hemisphere most affected by the Polar jet stream are the Central US,



**Figure 2: Illustration of the effect of terrain on the turbulent flow of the atmosphere. Site A is looking through less a less turbulent atmosphere and so would have better “seeing” conditions than site B.**

Canada, North Africa, and Northern Japan. The Jet stream’s position varies with the seasons, tending to move further South during the winter and spring months.

Refractive index changes have an impact on the optical wave-front as it travels through the earth’s atmosphere. In a vacuum, light from a distant star would arrive at the telescope primary mirror as a single planar wave-front. As discussed below, in the absence of an atmosphere and system noises—note that the system noises can be minimized in well designed optical systems—the only limit on spatial resolution would be the diameter of the telescope’s primary mirror a.k.a. the entrance pupil aperture<sup>7</sup>. For the remainder of this paper, we assume that system effects are negligible with respect to the effect of atmospheric turbulence. This is usually the case for well designed optical systems. In the earth’s atmosphere, the tiny local variations in the index of refraction of the atmosphere induce small phase changes that make the incoming plane wave look more like a sheet of crumpled paper. These uncompensated atmospheric phase aberrations in essence destroy an optical imaging systems resolving power.

Diffraction theory gives the spatial resolution limit of a telescope in the absence of any atmospheric effects and system noises as  $1.22 (\lambda/D)$  where,  $\lambda$  is the mean wavelength of the optical field, and  $D$  is the diameter of the telescope’s entrance pupil<sup>8</sup>. This results in the theoretical “best” resolution of a telescope (in vacuum with negligible system noises) given a circular aperture.

Table 1 below gives the theoretical resolution in vacuum (in arc-seconds) for different size telescopes at various wavelengths. For comparison purposes, the optical telescope at XXX has a 0.8 meter aperture. In practice at many observation sites the resolution they achieve through the atmosphere with conventional astronomical imaging falls in the range of 0.3 - 10 arc-seconds at visible wavelengths<sup>9</sup>.

Pass Band:	Wavelength (nm)	0.8 meter Diameter	1 meter Diameter	2.5 meter Diameter	10 meter Diameter
B	450	0.137	0.11	0.044	0.011
V	550	0.168	0.134	0.054	0.013
R	650	0.198	0.159	0.063	0.016
I	850	0.259	0.207	0.083	0.021
J	1200	0.366	0.293	0.117	0.029
H	1600	0.488	0.39	0.156	0.039
K	2200	0.671	0.537	0.215	0.054

**Table 1: The theoretically “best” resolution achievable (in arc-seconds) by conventional optical imaging systems. Results are plotted for various mean wavelengths and circular aperture diameters in vacuum.**

Unfortunately, because of the presence of atmospheric turbulence and system effects, an uncompensated imaging system can not achieve the theoretical spatial resolutions listed in Table 1. Instead, the spatial resolution of an optical imaging system that is looking vertically through atmospheric turbulence is given by the Fried parameter  $r_o$  as  $1.22 \lambda/r_o^{10}$ . Atmospheric conditions are such that the Fried parameter is usually in the range of 5 to 20 *cm*—typically towards the lower end of the scale. The best expected performance improvement in angular or spatial resolution of an optical imaging system that fully compensates for the effects of atmospheric turbulence and has negligible system effects is obtained by dividing the attainable resolution when looking through atmospheric turbulence by the diffraction limited resolution,

$$\Delta x_{imp} = \frac{D}{r_o}, \quad (1)$$

where  $\Delta x_{imp}$  is the best possible increase in spatial resolution<sup>11</sup>. As an example, for the 0.8 *m* telescope here at the [our university goes here] and for a “seeing” parameter  $r_o$  of 5 *cm*, we would expect up to a 16-fold increase in the spatial resolution of our telescope by compensating for the effects of atmospheric turbulence. This effect is simulated in Section 4.0 of this paper.

As can be seen by the preceding discussion, a multi-disciplinary team is required to successfully develop an ATC & AOS. Expertise is required in diverse areas such as optical systems design, image and signal processing, atmospheric physics, electrical and computer engineering, electromagnetism, space sciences, mathematics, control systems, electro-optical devices, systems engineering, mechanical engineering, and material science to name a few. As such, this project serves as an excellent illustrative example of a technically complex, multi-disciplinary, integration oriented project that spans many academic terms. The next section of this paper discusses the system engineering approach used to systematically develop this project.

### 3.0 Approach

This Section presents the system engineering entrepreneurship approach used to develop the Chaos-XXX. We discuss the development process as it pertains to our project; however, the

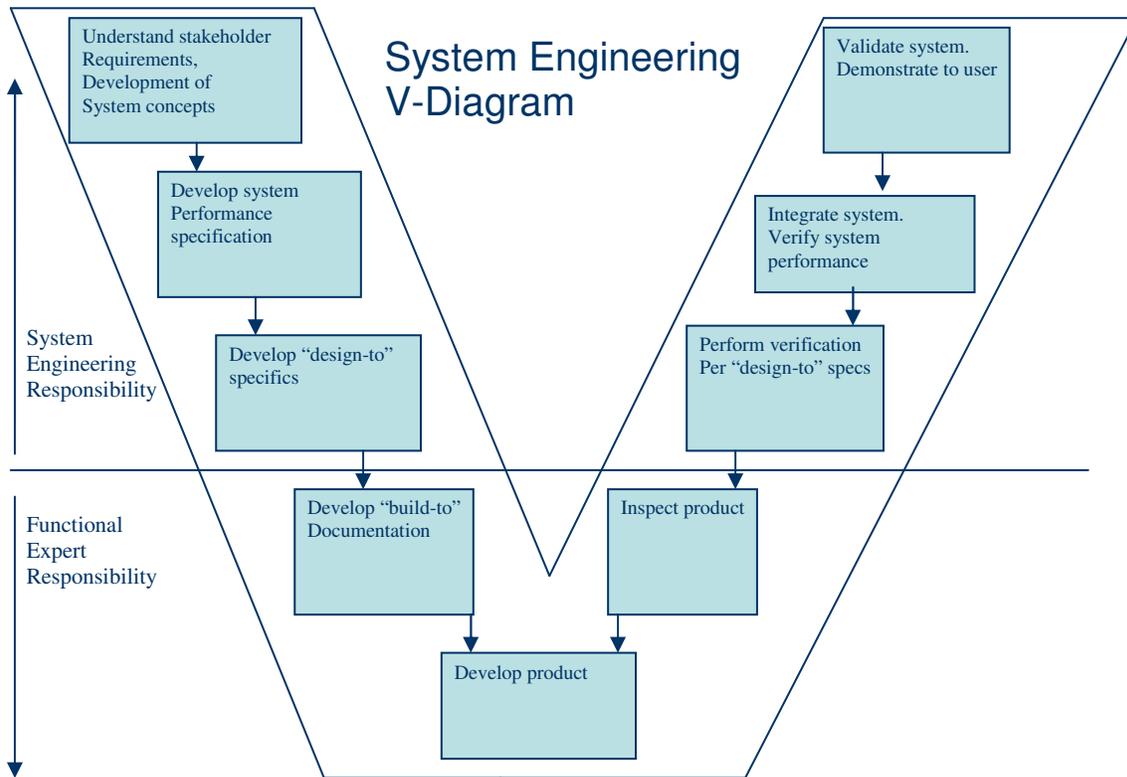
approach is general and has the following advantages for complex, multi-disciplinary, integration oriented university projects,

- 1.0 Provides a requirement driven approach and change control system for focused project development, impact assessments on schedule, cost, and performance, resource allocation decisions, and cost-benefit analysis,
- 2.0 Embodies a system baseline for evolutionary development in spiral phases with exit criteria for each phase,
- 3.0 Features an entrepreneurial component to assess marketability, profitability, intellectual property, financial risk, business plan development, and tech transfer,
- 4.0 Contains a methodology for feasibility analysis, trade-off studies, and risk assessments,
- 5.0 Takes a holistic approach to project development considering the entire project over its life-cycle

The system engineering entrepreneurship approach is especially beneficial for technically diverse, dynamic projects or programs that span departments, colleges, or universities and require the integration of different technical components or technologies. The system engineering entrepreneurship approach is also good for less complex but repetitive projects such as senior design projects. Academic institutions by their very nature have a high turnover in their student population and so a proven system for establishing a project baseline and controlled project documentation would be a tremendous benefit for complex projects that take a long time to complete.

Essential documents for integration oriented complex projects include stakeholder identification and needs assessments, project's scope, goals, and objectives, concept of operations, the project plan, system requirements, feasibility and trade studies, risk assessments and associated risk management plan, interface control documents, "build to" and "as-built" design documentation, project test plans, test procedures, test results, as well as documentation of the project maintenance concept, pre-planned product improvement, reliability, maintainability, safety, and quality aspects of the project. To develop these documents and establish the project baseline, a host of system engineering tools are available. Some powerful examples include the quality functional deployment, analytical hierarchy process, functional block diagrams, functional flow diagrams, quality engineering tools, project selection tools, decision tools, and statistical process control tools, and designed experiments methods. Some of these are presented as examples in the Chaos-XXX below.

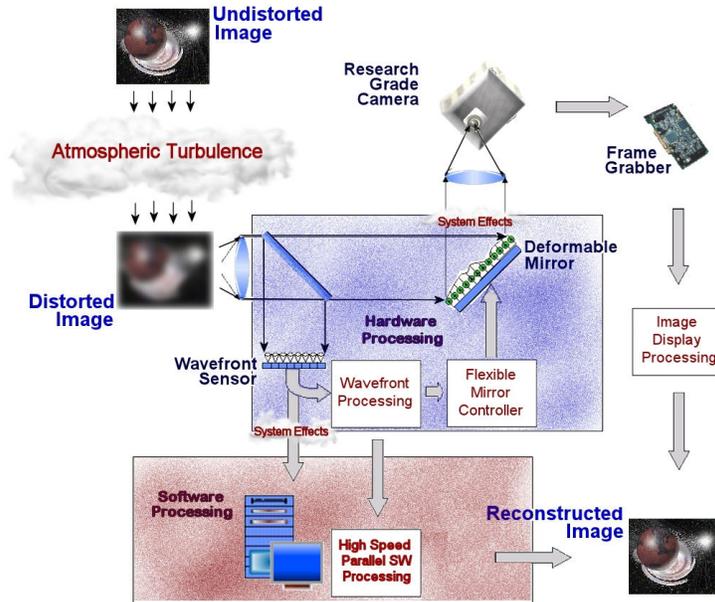
Figure 3 shows a slightly adapted for our purposes system engineering V-diagram<sup>12</sup>. We present this here to provide context for the system development discussion that follows. Notice that the system engineering function has the lead for activities at the tops of the "V" whereas technical experts take the lead for activities at the bottom of the V-diagram. Through-out all activities, close interactions are required between the system engineering and "design" activities.



**Figure 3: Generic system engineering V-diagram illustrating regions of responsibility for the systems engineering activity versus the functional “expert”. Blocks on the right side of the V-diagram correspond to documentation on the left side of the V-diagram<sup>11</sup>.**

When initiating a project or program, it is important to identify the stakeholders and document their needs. This is shown in the top-left block of Figure 3. Stakeholders include anyone that has an interest in the project including university leaders and administrators, end-users of the project, existing and potential customers, technicians, maintenance and logistics personnel, budget and financial personnel, contracting and legal personnel, relevant governmental and regulatory counterparts, political and commercial interests, intellectual property experts, marketing experts, and of course the members of the project development team themselves.

Once the stakeholders are identified, their needs and requirements are agreed upon and then documented<sup>13</sup>. The agreed “stakeholder requirements” are then used to drive the rest of the project development. Early on in the project development, it is useful to concisely capture and illustrate the stakeholder requirements in a concept of operations. The CONOPS is operationally oriented and serves to bring to focus the scope and nature of the project at hand<sup>14</sup>. Often, a graphical representation of the concept of operations is used to focus the project team on the task at hand. Figure 4 illustrates the concept of operations for the Chaos-XXX.



**Figure 4: Concept of operations for the atmospheric turbulence compensation and adaptive optics system planned for the 0.8 meter telescope at the [our university goes here].**

The atmospheric turbulence compensation process starts when uncorrupted object information such as the object brightness ( $w/m^2$ ; shown as the undistorted image at the top-left of Figure 4) is degraded by atmospheric turbulence and system noise effects. The entrance pupil field (represented by the distorted image in Figure 4) is severely degraded by atmospheric turbulence and is further low-pass filtered by the entrance pupil plane aperture of the imaging system. The distorted image shown is just a representation of the information at the entrance pupil aperture of the imaging system and is more realistically proportional to the 2-D Fourier transform of the shown distorted image. The beam splitter passes a portion of the optical field towards a wave-front correcting device (shown as the deformable mirror), and a portion towards a wave-front sensor. The wave-front sensor estimates the atmospheric turbulence induced phase across the imaging systems entrance pupil and passes these results to either the wave-front correcting device or to the high speed wave-front/image processing system (shown as software processing at the bottom of Figure 4).

If only the high-speed software processing system is used (bottom of Figure 4), then post-processing methods or high-speed software based atmospheric turbulence compensation methods can be investigated and implemented—for instance the phase diversity method by Gonsalvez<sup>15</sup>. If instead the wave-front correcting device (deformable mirror, micro-mechanical mirror, or liquid crystal) is used, then, when combined with the research grade camera, image frame-grabber, and storage and display system, a traditional hardware based adaptive optics system is obtained. Combined hardware and software based approaches such as partial compensation methods can also be investigated by using both the high speed software processing capabilities and sub-sampled, conventional adaptive optics systems.

In Figure 4, the hardware processing segment includes the beam splitter, wave-front sensor, wave-front sensor processing system, flexible mirror controller, the deformable mirror, and any

beam steering and beam shaping optics required in the optical path of the imaging system. The deformable mirror surface changes shape according to commands from the flexible mirror controller system. The deformed mirror adjusts itself in real-time to remove the sensed aberrations induced by system noise and atmospheric turbulence effects.

The software processing segment takes a feed from the wave-front sensor segment and/or direct image plane data and applies predominantly software based algorithms to reconstruct the image. High speed parallel processing hardware such as the Cellular Neural Network or Irvine Sensor's 3-D artificial neural network may be used as in-line or co-processors to increase the processing throughput of the system<sup>16, 17</sup>. One goal is to investigate high speed parallel processing software to perform image correction in real-time or near real-time. The resultant software reconstructed image can be compared to the reconstructed image obtained from the Chaos-XXX providing for comparative analysis and system trade studies.

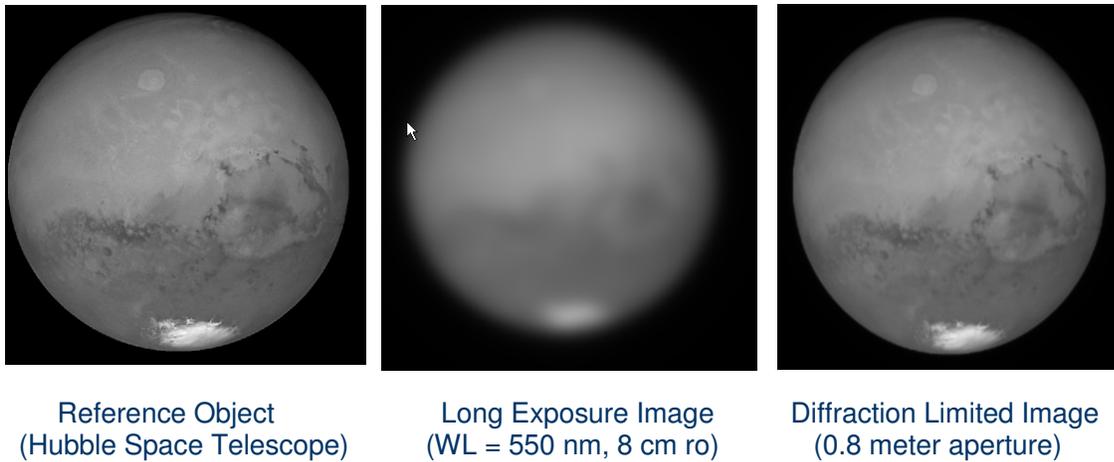
In order to maintain configuration control in a large, complex project, a requirements management system (RMS) is essential. A good RMS serves as a repository for project/program requirements and provides project control features such as traceability analysis, linking of the requirements to the project's qualification program (testing, reviews, meetings, etc.), and provides a means to assign searchable attributes to the requirements. For the Chaos-XXX, we used the Dynamic Object Oriented Requirements System (DOORS) developed by Telelogic to capture and manage our requirements<sup>18</sup>. We chose DOORS since it is widely used in industry, the Department of Defense, and also by many of our commercial partners that develop large-scale, technically complex programs.

Given the stakeholder requirements, and the concept of operations, feasibility and trade-off studies were conducted. The purpose of these studies was to assess the performance of the Chaos-XXX and also to generate the top-level system requirements for the project. To gain some performance insights, an atmospheric turbulence compensation simulator was developed using student teams to predict the performance of the telescope before and after the addition of the Chaos-XXX<sup>19</sup>.

Figure 5 shows the predicted before and after results using the atmospheric turbulence simulator. The image on the left of Figure 5 shows a reference image of Mars obtained from the Hubble Space Telescope. The central image corresponds to what would be seen by a 0.8 meter telescope under long exposure imaging conditions without compensating for the effects of atmospheric turbulence. The mean illuminating wavelength in the model is 550 nm.

The image on the right side of Figure 6 represents the best possible image attainable by fully compensating for the effects of atmospheric turbulence. As can be seen, quite an increase in spatial resolution is possible by incorporating a turbulence compensation system of some sort. The stakeholder requirements, concept of operations, and simulation models were used to develop system level requirements.

These system requirements were captured in the DOORS and a functional analysis was conducted to identify the major system components. A top level functional block diagram is illustrated in Figure 6. The project was broken into 3 phases or spiral developments. Spiral 1



**Figure 5:** The left figure shows an image of Mars taken from the Hubble Space Telescope. The center image shows the simulated image in the presence of atmospheric turbulence with a “seeing” of 8 cm and center wavelength of 550 nm. The simulated image on the right indicates the diffraction limited image representing the “best” possible image attainable if atmospheric and system effects were fully compensated.

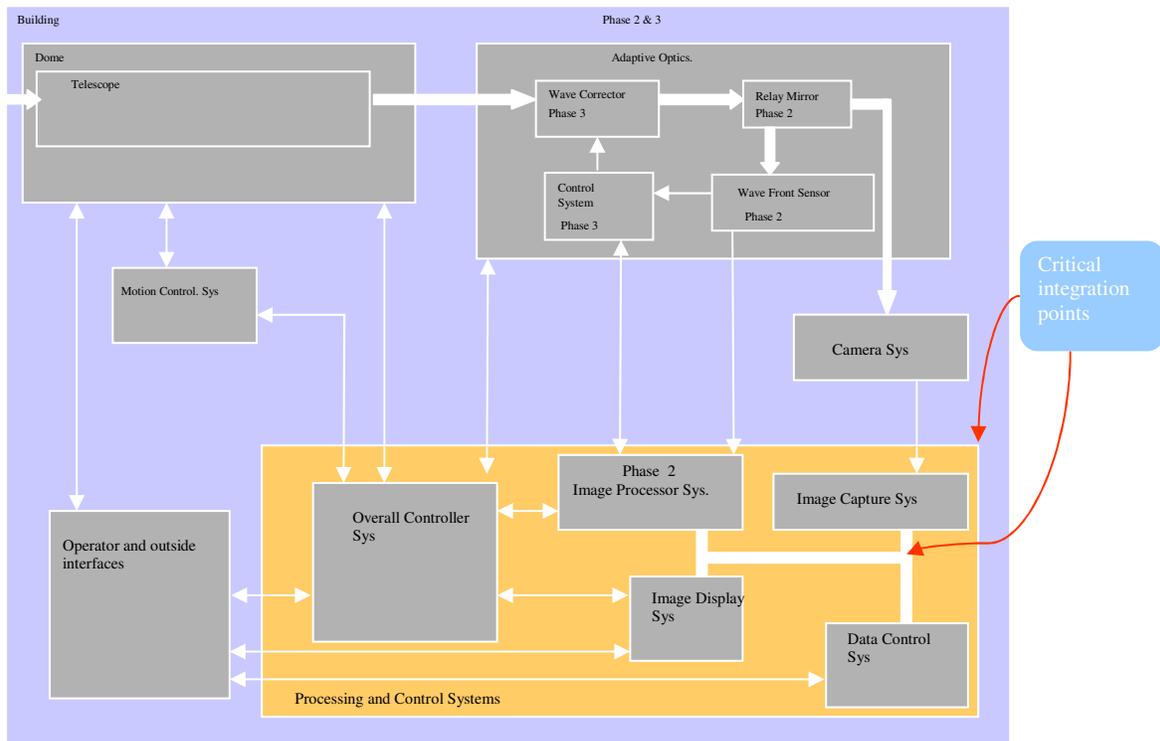
includes installation of a 0.8 m (32 inch) Cassegrain fork-mounted telescope with Ritchey-Chrétien (R-C) optics, manufactured by DFM Engineering, to the newly constructed Physical Sciences building at XXX. Additionally, we want to characterize the system vibrations and implement a software based atmospheric turbulence compensation method—phase diversity. Spiral 2 adds some hardware components such as a wavefront sensor and high speed parallel processing equipment to permit hybrid imaging techniques such as Deconvolution from Wave-Front Sensing (DWFS). The high-speed parallel processing equipment is used to investigate real-time and near real-time software-based image reconstruction methods. Phase 3 implements a full blown hardware-based adaptive optics system (AOS). Upon completion of phase 3, trade-off analysis can be accomplished between traditional hardware-based AOS, hybrid systems, and software-based atmospheric turbulence compensation approaches. Our project objectives are stated as follows.

### YEAR ONE OBJECTIVES

The first objective in year one is to investigate software and hardware techniques to stabilize astronomical images and remove turbulence and system noise effects from the current telescope hardware. The next objective for year one is to implement some post-processing techniques like speckle imaging, phase diversity, and wavelength diversity. The last objective for year one is to incorporate any image stabilization routines and post-processing techniques into the standard toolset for the telescope system. This will enhance the research capabilities of CHAOS-XXX and also potentially open doors for new research funding based on the success of the initial research.

### YEAR TWO OBJECTIVES

The first objective for research in year two is to investigate near real-time and real-time compensation techniques with a combination of post-processing techniques, which were



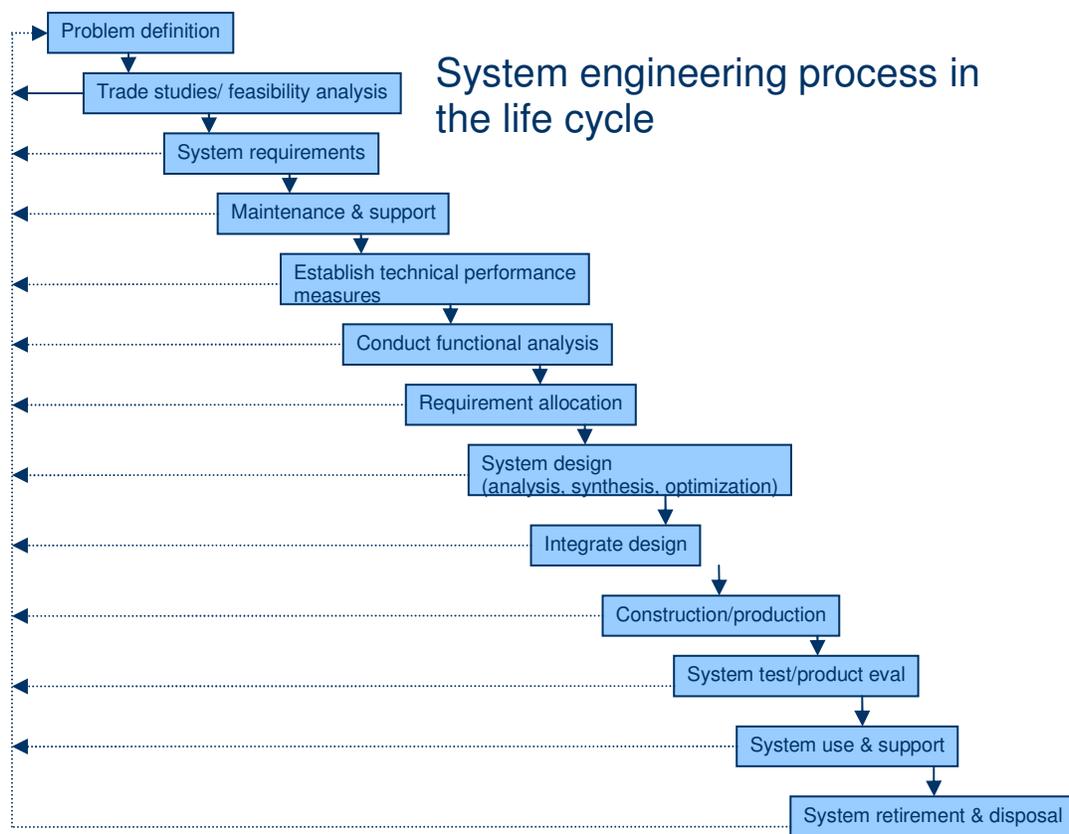
**Figure 6: Top-level functional block diagram for 3 phase Chaos-XXX development.**

developed in year one, and Neural Network (NN) high speed processors. XXX currently has begun preliminary investigation into high speed NN technology and by year two there should be enough background to implement some investigation into near-real and real time processing on the XXX Telescope. Once the Shack-Hartmann wave-front sensor is incorporated into the telescope system, it will be possible to investigate and measure local atmospheric turbulence parameters and then use the post-processing techniques developed in year one to correct those aberrations.

**YEAR THREE OBJECTIVES**

In the final year of the program it is desired to obtain and incorporate some type of wave-front corrector hardware into the telescope system. Adding the wave-front corrector will upgrade the telescope to a full hardware-based adaptive optics system. It will also allow for the software based real-time turbulence compensation techniques developed over the previous two years to be benchmarked against traditional real-time turbulence compensation techniques performed in hardware.

In order to achieve these objectives, the system development life-cycle process as shown in Figure 7 was applied to each of the 3 spiral developments<sup>20</sup>. In addition, exit criteria were set up at the end of each phase to serve as “off-ramps” for the development. As such, resource



**Figure 7: System engineering development process across the life-cycle**

allocation decisions can be made at the completion of each spiral prior to proceeding to the subsequent spiral development.

The system engineering development process (SEDP) is applied to each of the project spirals and simultaneously to the overall project. This is done to capture as many of the system life-cycle requirements as early as possible. Attributes are used in DOORS to track and distinguish between project and spiral requirements.

The problem definition block includes identification of the stakeholders and their requirements and the concept of operations. Trade studies and feasibility studies are accomplished in a similar fashion for the entire project and then also for each of the individual spirals. System modeling, analysis, and simulation are used to then define the system level requirements. These are documented in the system specifications.

The maintenance and support requirements are considered and included in the project plan. These include requirements for pre-planned product improvement (P3I) as well as special logistics, storage, and disposal considerations. As the requirements are being developed, technical performance measures (TPM) and associated metrics are identified corresponding to each of the system requirements. The TPM's are used in the overall qualification strategy to verify and validate that the project requirements are satisfied. They are also used as "hard"

observables during the design phase of the project development and they are used in satisfaction arguments during testing of the completed project.

Functional analysis is accomplished using tools such as the functional block diagram and functional flow diagram to iteratively define the project components based on the system requirements. The system requirements are then allocated to the identified functional blocks. Modeling and simulation may be used to derive additional requirements if the collection of requirements is deemed incomplete.

The requirements development, functional analysis, and requirements allocation process is continued until sufficient requirements detail exists to initiate the design process. The requirements are captured in DOORS and are organized into documents such as the top-level system specification (A-specification) and lower level detailed requirements specifications (B-specification, C-specification, D-specification, and E-specification).

Test plans and procedures are developed based on the requirements and interface control documents (ICD's) are used to control project interfaces. The ICD's are extremely useful in projects where different groups are developing different components of the project. ICD's are very useful in project integration.

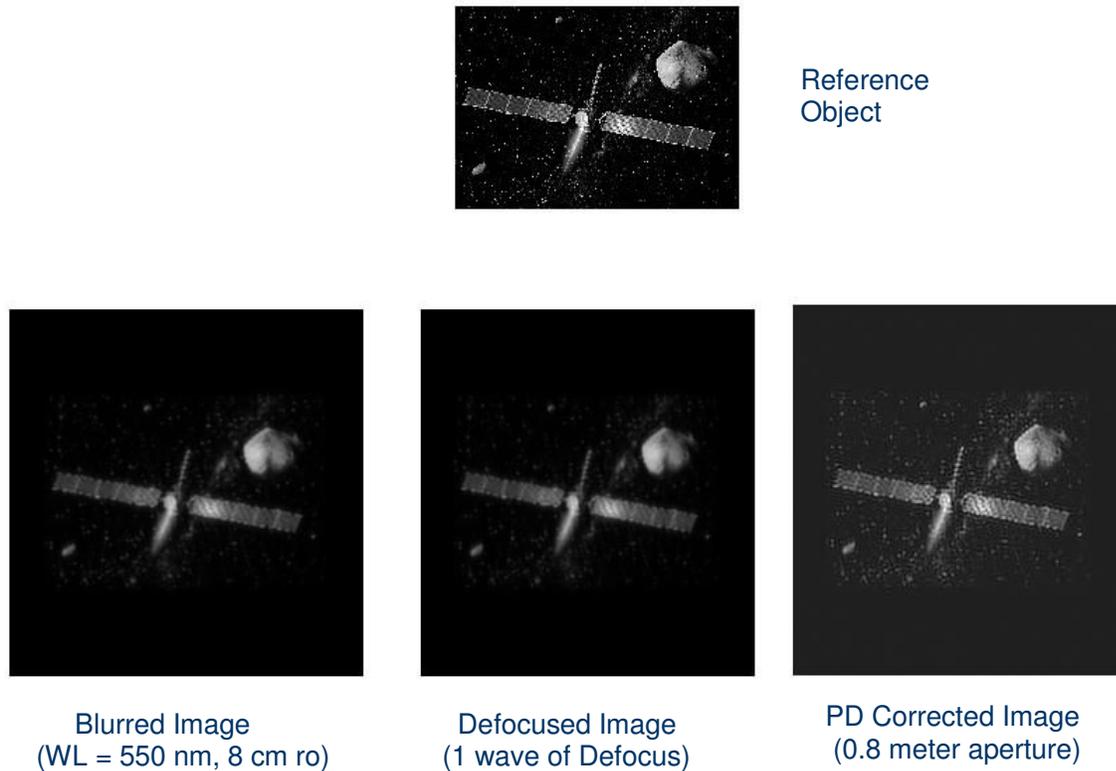
The design process starts by first analyzing the complete set of project requirements. Various design options are synthesized and the optimal solution is selected. An excellent tool for conducting trade-off analysis and component selection is the analytical hierarchy process (AHP)<sup>21</sup>. We used the AHP method to aid in the component selection of our research grade cameras, system controllers, and adaptive optics and turbulence compensation devices.

The requirements and design documents are used to "build" the project. Upon completion, the project (or project spiral) is tested according to its qualification documentation and the project is placed in use upon successful satisfaction of the all project requirements. Once in use, the maintenance and support strategy is implemented and the pre-planned product improvement (PPPI) strategy is initiated. At the end of its useful life, the product, process, system, or service is retired according to plan. The next section discusses our results.

## **4.0 Results**

We implemented the system development life-cycle process illustrated in Figure 7 and planned for a 3 spiral ATC & AOS development effort. We used student teams to develop an atmospheric turbulence simulator and also software based atmospheric turbulence compensation system based on the phase diversity technique. Figure 8 shows our implemented phase diversity method applied to a simulated satellite object. The atmospheric turbulence simulator was used to generate controllable amounts of atmospheric turbulence to degrade the reference object shown at the top of Figure 8.

In phase diversity, an in-focus and de-focused image are used as inputs to the atmospheric turbulence compensation algorithm and these two images are shown on the bottom left of Figure



**Figure 8: Phase Diversity atmospheric turbulence compensation simulation. The top image is the unaberrated reference object of a satellite model in the midst of clutter. The bottom left image is blurry image of the reference object as viewed with a Fried parameter of 8 cm at a center wavelength of 550 nm. The bottom-center image is the identically aberrated image as to its left but with an additional 1 wave of defocus added. The bottom two images are used as inputs to the phase diversity algorithm and the bottom right image is recovered from the phase diversity reconstruction algorithm.**

8. An iterative post processing algorithm is used to remove the atmospheric turbulence effects. The PD corrected image is shown on the bottom right of Figure 8.

Student design teams in different classes over several semesters were used to develop the system documentation for the Chaos-XXX, develop the atmospheric turbulence simulator, and implement the phase diversity atmospheric turbulence compensation technique.

To date, completed documentation and analysis include stakeholder requirements, benchmark studies, concept of operations, feasibility and trade studies, system requirements analysis, requirements specifications, risk analysis, functional analysis, design and interface documentation, program plan, work breakdown structure, linear responsibility chart, project master schedule, and project budget and resource requirements for all 3 spirals.

Entrepreneurial aspects of the project such as intellectual property, tech transfer, marketability, and financial/commercialization considerations may at the discretion of the principle investigator also be included as part of the project development strategy. If desired, these can play a roll in project selection, ranking, and satisfaction of spiral exit criteria.

## 5.0 Conclusions

A systems engineering entrepreneurship approach was presented for controlling technically complex, integration oriented projects at academic institutions. This approach is ideal for multi-disciplinary teams at universities and colleges that are engaged in activities and projects that require the integration of diverse resources. The approach is not as effective for individual research efforts or repetitive, non-complex projects. Examples where the systems engineering entrepreneurship approach can help include centers of excellence, multi-department activities, inter-collegiate, or multi-university projects or senior design teams.

As an example, a technically complex, multi-departmental and inter-collegiate project to provide an atmospheric turbulence compensation and adaptive optics system for our 0.8 *m* telescope was presented. Student design teams were used to provide the project analysis, documentation and to build an atmospheric turbulence simulator. Student design teams were also used to implement a software-based atmospheric turbulence compensation approach known as phase diversity.

In addition to the systems engineering approach, entrepreneurial considerations such as intellectual property, profit potential, marketability, and SWOT analysis can be considered for go/no-go decisions during exit criteria evaluations between spiral developments. The combination of the fundamental systems engineering principles with entrepreneurial considerations makes for a solid approach to developing technically complex projects at academic institutions.

## 6.0 Acknowledgements

I would like to thank the many students who worked on this project. In particular, I would like to thank Shigeo Azuma, John Green, and Don Bryant for the fundamental systems engineering work, Joe Elam for the lions share of the atmospheric turbulence simulator, Mark Bielak and Rachael Singer for work with the high-speed parallel processing aspects of this project, T.J. Misilo for the image stabilization simulator, and for the many other students who contributed selflessly to make this project a success.

## 7.0 References

1. Department of the Air Force. Memorandum from SAF/AQ to all PEOs/DACs/Single Managers, *Incentivizing Contractors for Better Systems Engineering*, 6 Jan 03.
2. Blanchard, B. S., *System Engineering Management*, 3<sup>rd</sup> Ed. New Jersey: John Wiley & Sons, 2003.
3. D’Cruz, Carmo and Tom O’Neal: Turning Engineers into Entrepreneurs and Transforming a Region. Proceedings of the 2004 American Society for Engineering Education (ASEE) Annual Conference. Salt Lake City. UT June 23-25, 2004.
4. Roggemann, Michael C., and Byron Welsh, *Imaging through Turbulence*, CRC Press (1996).

5. Noll, R. J., "Zernike Polynomials and atmospheric turbulence," *J. Opt. Soc. Am.*, Vol. 66, pp. 207-211, 1976.
6. Roddier, F., "The effects of atmospheric turbulence in optical astronomy," in *Progress in Optics*, Vol. 19, E. Wolf, ed. (North-Holland, Amsterdam, 1981)
7. Goodman, J., *Introduction to Fourier Optics*, McGraw-Hill (1976)
8. Guenther, R., *Modern Optics*, Wiley (1990)
9. Roggemann, M. C., "Limited degree-of-freedom adaptive optics and image reconstruction," *Applied Optics*, Vol. 30, No. 29, pp. 4227-4233, 10 October, 1991.
10. Fried, D. L., "Statistics of a Geometric representation of Wavefront Distortion," *J. Opt. Soc. Am.*, Vol. 55, No. 11, pp. 1427-1435.
11. Arrasmith, W. W., "Unconventional optical imaging using a high speed, neural network based smart sensor", Defense and Security Symposium, Orlando, FL, April 16, 2006.
12. Blanchard, B. S., *System Engineering Management*, 3<sup>rd</sup> Ed., pp. 47, Wiley (2003)
13. Hull, E., Jackson, K., and Dick, J., *Requirements Engineering*, 2<sup>nd</sup> Ed., Springer (2005)
14. Feaga, K.P., Lt Col, USAF. "The USAF Capabilities Based CONOPS Construct." Unpublished research article, Air War College, Carlisle Barracks, PA, 2004.
15. Gonsalves, R. A., "Phase Retrieval from Modulus Data," *J. Opt. Soc. Am.*, Vol. 66, No. 9, September 1976.
16. Chua, L. O., and Roska, T., *Cellular neural networks and visual computing foundations and applications*, Cambridge University Press (2002).
17. 3-DANN Specifications sheet, obtained from Irvine Sensors homepage at, <http://www.irvine-sensors.com>, (cited November, 2006).
18. DOORS product literature, obtained from Telelogic homepage at, <http://www.telelogic.com>, (cited November, 2006).
19. Arrasmith, W. W., "High Speed Atmospheric Turbulence Compensation using a Cellular Neural Network", Military Sensing Symposium: Passive Sensors, Orlando, FL, February 13, 2006.
20. Blanchard, B. S. and Fabrycky, W. J., *Systems Engineering and Analysis*, 4<sup>th</sup> Ed., Pearson Prentice Hall (2006)
21. Saaty, T. L., Vargas, L., *Fundamentals of Decision Making and Priority Theory with the Analytical Hierarchy Process*, Analytical Hierarchy Process Series, Vol. 6, RWS Publishing (2000)