Supporting Faculty to ”Do the Flip!” Lessons Learned when Transitioning Faculty to Active Pedagogy in the Classroom

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Dr. Backer been a faculty at SJSU since 1990 and held positions as an assistant professor, associate professor, professor, department chair, and director. Since coming to San Jose State University in 1990, I have been involved in the General Education program. Currently, Dr. Backer serves as the PI for the Title III Strengthening grant both from the U.S. Department of Education.

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Ravisha Mathur is a faculty member in the Connie L. Lurie College of Education at San José State University. She has been active in working on instructional pedagogy in her classrooms for over 10 years. She has been teaching online for five years and reviewing online courses with the Quality Matters (QM) organization and in 2015 she transitioned to become a master reviewer. Currently, she is a QM Team Leader for the university and the Faculty Learning Community coordinator for the First in the World Program Grant.
Evidence Based Practice: Supporting Faculty to “Do the Flip”!
Lessons Learned when Transitioning Faculty to Active Pedagogy
in the Classroom

Abstract

This paper is an Evidence Based Practice paper. The project focuses on an active and
intuitive learning technique, flipped classroom. In this technique, the usual student- teacher
centered lecture is replaced by a more student driven approach where a student prepares prior
to a class, which gives more time for in class discussions and problem solving. This project is
a combined effort from three universities: San José State University (SJSU), California State
University-Los Angeles (CSULA), and Cal Poly Pomona (CPP). This project aims to
improve retention rates in STEM courses thereby increasing the number of students who
graduate with STEM degrees. The three universities belong to the CSU system which is the
largest university system in the entire country. The program is funded by the U.S Department
of Education under the First in the World program. The outcome of this project is to check if
the flipped teaching style helps in reducing the failure rates of students in gateway STEM
courses. The authors prefer a lightening session.

Background

The grant was funded by the U.S Department of Education under its First in the World
program. The activities in the Grant were inspired by the recognition that URM students had
lower rates of success in key undergraduate STEM courses. Each new generation has its own
challenges. Although lecture-based classes may have worked in the past, they are no longer
adequate [1]. Universities must acknowledge this and take steps to adjust pedagogy
accordingly. A seminal report published by the National Research Council in 1999, How
People Learn: Brain, Mind, Experience and School [2], includes a call for a university culture
where a deep understanding of how students learn determines both the content of the
curriculum and how it is taught. Instead of the classroom being the sole source of learning,
faculty should function as learning guides. The Model Institutions for Excellence (MIE)
program, funded by the NSF and NASA over 11 years, included student learning
communities, small group learning, and faculty development to increase
URM student enrollment in STEM fields. This work led to increased STEM enrollments of URM students
[3][4] and increased degrees conferred on URM students in STEM fields [5].

There is a national need to broaden the participation of women and underrepresented minority
(URM) students in science and engineering [6]. The lack of trained scientists and engineers
from diverse ethnic backgrounds is noted by the National Academy of Sciences as a barrier
for the in global competitiveness [7].

Demographics

All three of these institutions are Hispanic-serving institutions. The demographics of the
undergraduates at these three universities is shown in Table 1. There are more than 32,000
undergraduate and graduate students in SJSU across its seven colleges. The college offers
bachelors, masters and doctorate programs. CPP is one of the only seven polytechnic universities in the nation. It has 24,000 students across the 8 colleges offering more than 100 courses in leading to bachelors, masters and doctoral degrees.

Table 1. Distribution of Undergraduates by Ethnicity at the Three Universities

<table>
<thead>
<tr>
<th></th>
<th>SJSU</th>
<th></th>
<th>CSULA</th>
<th></th>
<th>CPP</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Headcount</td>
<td>percent</td>
<td>Headcount</td>
<td>percent</td>
<td>Headcount</td>
<td>percent</td>
</tr>
<tr>
<td>African American</td>
<td>899</td>
<td>3.30%</td>
<td>788</td>
<td>3.30%</td>
<td>777</td>
<td>3%</td>
</tr>
<tr>
<td>American Indian</td>
<td>21</td>
<td>0.07%</td>
<td>14</td>
<td>0.10%</td>
<td>52</td>
<td>0.20%</td>
</tr>
<tr>
<td>Asian</td>
<td>9,821</td>
<td>35.90%</td>
<td>3,105</td>
<td>12.90%</td>
<td>5697</td>
<td>22%</td>
</tr>
<tr>
<td>Hispanic</td>
<td>7,755</td>
<td>28.30%</td>
<td>16,239</td>
<td>67.70%</td>
<td>11,134</td>
<td>43%</td>
</tr>
<tr>
<td>Pacific Islander</td>
<td>131</td>
<td>0.40%</td>
<td>23</td>
<td>0.10%</td>
<td>52</td>
<td>0.20%</td>
</tr>
<tr>
<td>White</td>
<td>4,108</td>
<td>15%</td>
<td>1,192</td>
<td>5%</td>
<td>4402</td>
<td>17%</td>
</tr>
<tr>
<td>Foreign</td>
<td>2,213</td>
<td>8.10%</td>
<td>1,719</td>
<td>7.20%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Two or more/other</td>
<td>2,379</td>
<td>8.70%</td>
<td>922</td>
<td>3.80%</td>
<td>3625</td>
<td>14%</td>
</tr>
<tr>
<td>Total</td>
<td>27,327</td>
<td></td>
<td>24,002</td>
<td></td>
<td>24,314</td>
<td></td>
</tr>
</tbody>
</table>

CSULA has eight colleges and is home to one of the most diverse student population in the nation. The college has around 24,000 undergraduate students alone with majority being from the Hispanic community. At each university, there are many students who are either first generation, under-represented minorities (URM) or and low-income students. 64% of SJSU students, 80.8% of CSULA students, and 66% of CPP students qualify for financial aid in the form of grants, scholarships, loans. 38% of SJSU students, 75% of CSULA students, and 45% of Cal Poly Pomona students receive PELL grants.

This grant included seven core classes at the three institutions: Calculus I, Physics I and II, Statics, Circuits, Intro to Programming, and Discrete Mathematics. We opened the summer 2017 and 2018 flipped workshops to undergraduate STEM faculty at the three universities. Most of the faculty implemented flipped instruction in the lecture portion of their courses. As the three universities are CSUs, our class sizes typically range from 25 to 75. Physics I flipped the laboratory portion of the course. The instructor developed pre-lab example problems and lab presentations which the students did before the lab. When the students went to their lab sections, they participated in workshop-type activities where they solved problems, performed lab activities and participated in discussion sessions. The individual lesson plans are available on the project website at http://www.sjsu.edu/firstintheworld/.

Faculty Survey on Active Learning

Despite increasing research on active learning, the teacher-centered lecture model still persists in STEM fields [8]. Although the number of faculty using active learning (or student centered) methods has increased in the last ten years, the Higher Education Research Institute survey of faculty in 2013 showed that half (50.6%) of faculty use a lectured-based classroom instead of active learning [9].

“Active learning is generally defined as any instructional method that engages students in the learning process. In short, active learning requires students to do meaningful learning activities and think about what they are doing. The core elements of active learning are
student activity and engagement in the learning process” [10].

The number of engineering faculty using active learning is lower than other fields: a national survey of engineering faculty [11] found that only 47% of engineering professors use active learning in their classrooms. Indeed, Lord and Camacho [12] found that most teaching-oriented engineering faculty know there are problems with lecture-based instruction; yet 60% of them still teach that way.

Integrating active learning techniques into STEM classes has produced gains in student learning. A study completed by Hake [13] in Physics showed that when active engagement methods were used, students’ scores on a Force Concept Inventory (FCI) were higher than students in traditional classrooms. At Purdue University, large STEM lecture courses were redesigned to include active learning strategies in STEM classes; this is part of the Purdue Academic Course Transformation program (IMPACT) [14]. As a result of IMPACT, overall student retention increased by 1%, while retention in their respective STEM courses increased by 2%. After the redesign, student GPAs of those taking IMPACT made a significant increase, making a significant difference between Non-IMPACT and IMPACT classes. Improved learning and improved student achievement in active learning STEM classrooms also has been documented by Deslauriers et al [15], Prince [16], Beichner [17], and Michael [18].

To gather a baseline for our efforts, we surveyed the faculty at all three universities about the flipping methodology. Over 900 STEM faculty at the three campuses involved in the FITW project were offered a survey about their knowledge of, and experiences with, flipped instruction. Here we report on the results of the 18 item survey, completed by 333 STEM faculty (more than 100 at each campus). Some questions were demographic, to gain information about teaching experience and gender.

Most items were about faculty perceptions of the potential benefits of, or challenges to, flipping. A few open-ended items solicited further details about particular aspects of faculty views on flipped learning. Among those completing the survey, 66% were tenured (46%) or tenure-track (22%), about the rest were adjuncts or lecturers (30%) or people with other instructional roles (4%; e.g., teaching assistants, coaches, emeritus instructors). Half of respondents characterized their STEM field as science. Engineering was identified by 31% of respondents, while 25% selected math. Only 7% identified their field as technology. The key findings are shown below.

- More than 66% of respondents were familiar with and had tried problem--based learning or collaborative learning in their classes.
- Among the 333 respondents, 66% said they had not yet tried flipping a class with one-third reporting already trying a flip of some kind.
- More than 66% who had tried flipping rated it as a positive experience.
- Most respondents who had tried flipping did so in classes of 60 or fewer students.
- 60% of the respondents rated student--centered learning as the greatest potential benefit for instruction in flipped courses, with improved learning environment a close second.
Among those who had tried flipping, 66% agreed that students are more engaged in a flipped learning environment.

55% of the respondents rated time as a challenge to flipping. In open ended questions, time was the leading factor when respondents were asked what additional support they needed to flip their courses; many also identified money for time and resources as a significant need.

In the survey, we asked the faculty about the potential benefits of flipped classroom instruction. Of the 333 faculty who responded to the survey, 288 answered this question. The highest ranked benefits were more learner-centered teaching (65%), improved learning environment (54%), instructor gets to know students better (47%), and (it) re-energizes a course (46%). All of the responses are shown in Figure 1.

Figure 1. FITW Survey Results: What do you see as the potential benefits for flipped classroom instruction?

Through our work on this grant, we have seen faculty encounter many challenges. These challenges align with a national survey of faculty [19] and our own survey (see Table 2). 280 survey takers responded to this question. Responses were similar to those in the
national survey with two interesting exceptions: (1) though about half of FITW respondents said time was a challenge, nearly three—quarters on the national survey did and (2) FITW respondents appeared to have more confidence in students taking up the ideas (i.e., a lower percentage identified student resistance as a challenge).

Table 2. Challenges in Implementing Flipping in a STEM Course, Comparison of FITW Survey and National Survey [19]

<table>
<thead>
<tr>
<th>Challenge</th>
<th>National Survey Results</th>
<th>FITW Survey Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time</td>
<td>70%</td>
<td>53%</td>
</tr>
<tr>
<td>Material support/resources</td>
<td>39%</td>
<td>46%</td>
</tr>
<tr>
<td>Student resistance/lack of motivation</td>
<td>45%</td>
<td>31%</td>
</tr>
<tr>
<td>Developing new strategies and ideas</td>
<td>45%</td>
<td>41%</td>
</tr>
</tbody>
</table>

Community of Inquiry Framework

The foundation for this curricular development is based on the Community of Inquiry (CoI) framework [20]. The CoI framework has three parts: social presence, cognitive presence and teaching presence. The indicators of social presence are open communication among participants, individuals identifying with the group, and the development of strong interpersonal relationships among the members of the group. Cognitive presence is the process in which the participants learn how to approach new pedagogies (in our case, the flipped learning pedagogy), deepen their understanding of the pedagogy, and apply it to their own learning spaces. Creating a teaching presence includes creating an enhanced learning experience for students to learn the course material with the support and guidance of the instructor.

Activities and materials were created following the CoI framework; these materials have been developed and tested across all three campuses. On each campus, there is a Faculty Learning Community (FLC) which supports the faculty who are involved in implementing the flipped classroom model into their classes. In addition, we have disciplinary FLCs that engage faculty from all three campuses. For example, the Calculus I faculty on all three campuses have collaborated on developing materials for their flipped classrooms.

Faculty development was based on social cognition model. “Social cognition models tend to emphasize discussion and learning among institutional participants, helping them to understand the change process” [21]. Change is not linear; it “is a multifaceted, interconnected, overlapping series of processes, obstacles and individuals” [22]. The metaphor for change is based on brain research and includes complex and interrelated systems, mental models, and interpretation of new situations. A key to this model is sensemaking—a process of making sense out of change and ambiguity in the educational environment [23]. The faculty in this project will work together in teams, through FLCs, to formulate new conceptualizations of teaching in the university.

According to the theory of change model [24], interdisciplinary teams facilitate discussions about beliefs and assumptions because faculty typically work in silos and are not asked why they hold particular beliefs or embrace particular techniques of teaching [25]. Reports have
indicated the need for curricular reforms to provide undergraduate education that engages student and teachers invoking a sense of deep learning.

In Summer 2016, there was a training for core faculty from all the three campuses in the form of a three-day intensive faculty summer workshop. In Summer 2017, an additional 58 faculty members were trained at the three campuses and 48 faculty were trained in Summer 2018. This training was to expose the faculty to the CoI framework- to discuss various effective pedagogical practices for URM students, strategies to encourage students to have a deeper understanding of subjects, role of faculty in bringing about this positive change in students, use of flipped approach to engage wide variety of learners. In this workshop each of the core faculty met with the PI and the campus lead to discuss and obtain inputs on project goals, respective timelines and responsibilities for the academic semester.

During these workshops, faculty have their own flipped learning to do as part of this. They have to come prepared with their game plan using the materials provided to them. The faculty are given a book called Flipped Learning by Robert Talbert as pre-workshop reading. At the end of the workshop, the faculty are expected to have their lesson plan ready to present in front of a faculty panel who will approve the same. This panel provided guidance and future support for the faculty in charge of flipped learning. Figure 2 displays the agenda for the one-day training workshops in 2017 and 2018.

Figure 2. Agenda for the Do the Flip! Summer Workshops

<table>
<thead>
<tr>
<th>Time</th>
<th>Do the Flip! Summer Workshops Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>8:15-8:30</td>
<td>Welcome! Coffee, Continental Breakfast, Paperwork</td>
</tr>
<tr>
<td>8:30-8:45</td>
<td>Introductions+ Chalk Talk</td>
</tr>
<tr>
<td>8:45-9:30</td>
<td><strong>What's in a Lesson Plan?</strong> -Lesson Plan Components, Compare exemplary and ineffective flipped class lesson plans</td>
</tr>
<tr>
<td>9:30-10:30</td>
<td><strong>Learning objectives in the Flipped Class</strong> - Clarify basic and advanced LO's with collegial feedback</td>
</tr>
<tr>
<td>10:30-10:45</td>
<td><strong>Break</strong></td>
</tr>
<tr>
<td>10:45-12:00</td>
<td><strong>Active Learning Strategies</strong> - Individual vs group space activities - Tying activities to Bloom's taxonomy and specific course objectives (SJSU eCampus programs and Resources)</td>
</tr>
<tr>
<td>12:00-12:45</td>
<td><strong>Lunch</strong></td>
</tr>
<tr>
<td>12:45-1:15</td>
<td><strong>Planning Individual Space Activities</strong> - Draft a plan for pre-meeting individual space activities for your lesson</td>
</tr>
<tr>
<td>1:15-2:15</td>
<td><strong>Planning the Group Space and Post Lesson Agenda</strong> - Draft a plan for the group space agenda for your lesson - Draft a plan for post meeting individual space activities for your lesson</td>
</tr>
<tr>
<td>2:15-2:30</td>
<td><strong>Break</strong></td>
</tr>
<tr>
<td>2:30-3:00</td>
<td><strong>Student Motivation &amp; Preparation</strong> - How do you get the students to do the individual space work?</td>
</tr>
<tr>
<td>3:00-3:45</td>
<td><strong>Q&amp;A with Flipped Out Faculty</strong> - What is the student response to the Flip? Biggest challenge and solution? Best thing about the Flip?</td>
</tr>
</tbody>
</table>
In addition to the pre-workshop activities and the one-day workshop activities, the faculty were asked to complete post workshop activities (see Figure 3). Faculty who completed these activities successfully received a $250 stipend and were eligible for funding in a subsequent year.

Figure 3. Post-workshop Activities Given to the Participants

- A completed lesson plan for at least one lesson in your target class, that has been revised following feedback from one of your workshop colleagues (partners will be paired up in the workshop)
- A “guided practice” document for the lesson, again revised according to collegial feedback (note that there will be some overlap between the lesson plan and the guided practice. The lesson plan is for your use; guided plan is for student’s use.)
- A brief reflection about what, if anything, you plan to do for flipping a class in Fall 20XX. Note you don’t actually have to flip anything, but we hope you do! Comment on the time, energy, etc and if you are planning to flip, describe how you plan to get those resources.

Lessons Learned

During the course of this flipped learning initiative, it was imperative for faculty to realize that the pilot program they were testing might not result in immediate success. It calls for patience with the students as students need time to get used to the new system of instruction. However, results show that this program in fact has benefitted students and their performance is better. As a result, the “slump” was avoided which usually affects the student’s performance as a result of new teaching methods being implemented. The joint collaboration and support given by the FLCs plays a vital role because they help the faculty to think outside the box and come up with innovative coursework for flipped learning. The coordinators along with the panel approve the coursework that the core faculty come up with.

The flipped learning program has been successfully implemented across three campuses in the CSU system. This program was conducted over a period of three years. There was a continuous improvement process with respect to the materials developed for the flipped learning curriculum. This has enabled students to think outside the box and have a more analytical approach towards different problems. There have been regular performance reviews to see how effective the program was. Based on the results, respective changes to meet all the requirements took place.

References


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22 Kezar, Op cit, p. 45

